

Data for every month ending January 2026- Merchant Banking

S N	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month	Pending complaints > 1 month	Average Resolution time^ (in days)
1	2	3	4	5	6	7	8
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL

Please note that as there is no complaint throughout the Financial Year

B&K Securities is the trading name for BATLIVALA & KARANI SECURITIES INDIA PVT. LTD.

(Member : The Stock Exchange, Mumbai and The National Stock Exchange of India Ltd.)

Hallmark Business Plaza, Unit No. 1101, 1103, 1104, 11th Floor, Sant Dineshwar Marg, Near Guru Nanak Hospital, Bandra (E), Mumbai - 400 051.

Tel : 40317000 / 40076000 • Fax : 022 - 26510024 • E-mail : contact@bksec.com / investorcomplaints@bksec.com • Website : www.bksec.com • CIN - U67120WB1998PTC087160

Regd. Office : Room No. 3/4, 7 - Lyons Range, Kolkata - 700 001.

Trend of monthly disposal of complaints- Merchant Banking

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	April -2025	NIL	NIL	NIL	NIL
2	May-2025	NIL	NIL	NIL	NIL
3	June-2025	NIL	NIL	NIL	NIL
4	July-2025	NIL	NIL	NIL	NIL
5	August-2025	NIL	NIL	NIL	NIL
6	September-2025	NIL	NIL	NIL	NIL
7	October -2025	NIL	NIL	NIL	NIL
8	November-2025	NIL	NIL	NIL	NIL
9	December -2025	NIL	NIL	NIL	NIL
10	January -2026	NIL	NIL	NIL	NIL
11	February -2026				
12	March -2026				

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

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Trend of annual disposal of complaints- Merchant Banking

SN	Year	Carried forward From previous year	Received during the year	Resolved During the year	Pending at the end of the year
1	2017-18	NIL	NIL	NIL	NIL
2	2018-19	NIL	NIL	NIL	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	NIL	NIL	NIL	NIL
5	2021-22	NIL	NIL	NIL	NIL
6	2022-23	NIL	NIL	NIL	NIL
7	2023-24	NIL	NIL	NIL	NIL
8	2024-25	NIL	NIL	NIL	NIL
9	2025-26(Till January)	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

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