

**Data for every month ending February 2026- Merchant Banking**

| S N | Received from           | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month | Pending complaints > 1 month | Average Resolution time^ (in days) |
|-----|-------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|------------------------------------|
| 1   | 2                       | 3                                   | 4                                    | 5                                     | 6   | 7                            | 8                                  |
| 1   | Directly from Investors | NIL                                 | NIL                                  | NIL                                   | NIL                                       | NIL                          | NIL                                |
| 2   | SEBI (SCORES)           | NIL                                 | NIL                                  | NIL                                   | NIL                                       | NIL                          | NIL                                |
| 3   | Stock Exchanges         | NIL                                 | NIL                                  | NIL                                   | NIL                                       | NIL                          | NIL                                |
| 4   | Other Sources (if any)  | NIL                                 | NIL                                  | NIL                                   | NIL                                       | NIL                          | NIL                                |
| 5   | <b>Grand Total</b>      | NIL                                 | NIL                                  | NIL                                   | NIL                                       | NIL                          | NIL                                |

Please note that as there is no complaint throughout the Financial Year

**B&K Securities is the trading name for BATLIVALA & KARANI SECURITIES INDIA PVT. LTD.**

**(Member : The Stock Exchange, Mumbai and The National Stock Exchange of India Ltd.)**

Hallmark Business Plaza, Unit No. 1101, 1103, 1104, 11th Floor, Sant Dineshwar Marg, Near Guru Nanak Hospital, Bandra (E), Mumbai - 400 051.

Tel : 40317000 / 40076000 • Fax : 022 - 26510024 • E-mail : contact@bksec.com / investorcomplaints@bksec.com • Website : www.bksec.com • CIN - U67120WB1998PTC087160

Regd. Office : Room No. 3/4, 7 - Lyons Range, Kolkata - 700 001.

**Trend of monthly disposal of complaints- Merchant Banking**

| SN | Month          | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|----------------|-------------------------------------|--------------------------------------|--|--|
| 1  | April -2025    | NIL                                 | NIL                                  | NIL                                    | NIL  |
| 2  | May-2025       | NIL                                 | NIL                                  | NIL                                    | NIL  |
| 3  | June-2025      | NIL                                 | NIL                                  | NIL                                    | NIL  |
| 4  | July-2025      | NIL                                 | NIL                                  | NIL                                    | NIL  |
| 5  | August-2025    | NIL                                 | NIL                                  | NIL                                    | NIL  |
| 6  | September-2025 | NIL                                 | NIL                                  | NIL                                    | NIL  |
| 7  | October -2025  | NIL                                 | NIL                                  | NIL                                    | NIL  |
| 8  | November-2025  | NIL                                 | NIL                                  | NIL                                    | NIL  |
| 9  | December -2025 | NIL                                 | NIL                                  | NIL                                    | NIL  |
| 10 | January -2026  | NIL                                 | NIL                                  | NIL                                    | NIL  |
| 11 | February -2026 | NIL                                 | NIL                                  | NIL                                    | NIL  |
| 12 | March -2026    |                                     |                                      |  |  |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

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**Trend of annual disposal of complaints- Merchant Banking**

| SN | Year                   | Carried forward From previous year | Received during the year | Resolved During the year | Pending at the end of the year |
|----|------------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1  | 2017-18                | NIL                                | NIL                      | NIL                      | NIL                            |
| 2  | 2018-19                | NIL                                | NIL                      | NIL                      | NIL                            |
| 3  | 2019-20                | NIL                                | NIL                      | NIL                      | NIL                            |
| 4  | 2020-21                | NIL                                | NIL                      | NIL                      | NIL                            |
| 5  | 2021-22                | NIL                                | NIL                      | NIL                      | NIL                            |
| 6  | 2022-23                | NIL                                | NIL                      | NIL                      | NIL                            |
| 7  | 2023-24                | NIL                                | NIL                      | NIL                      | NIL                            |
| 8  | 2024-25                | NIL                                | NIL                      | NIL                      | NIL                            |
| 9  | 2025-26(Till February) | NIL                                | NIL                      | NIL                      | NIL                            |
|    | <b>Grand Total</b>     | <b>NIL</b>                         | <b>NIL</b>               | <b>NIL</b>               | <b>NIL</b>                     |

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