

Data for every month ending September 2026- Stock Broking

S N	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending forless than 3 months	Pending formore than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL		NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL		NIL
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL		NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL		NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL		NIL

Please note that as there is no compliant throughout the Financial Year

360 ONE Capital Market Pvt Ltd (formerly Batlivala & Karani Securities India Pvt Ltd.)

Corporate Office: Hallmark Business Plaza, Unit No. 1101, 11th Floor, Sant Dyneshwar Marg, Near Guru Nanak Hospital, Bandra (E), Mumbai - 400 051.

Registered Office: Room No. 3 / 4, 7 - Lyons Range, Kolkata - 700 001.

Tel 40317000 / 40076000 Email contact@bksec.com / investorcomplaints@bksec.com www.bksec.com CIN: U67120WB1998PTC087160

Member: The Stock Exchange, Mumbai and The National Stock Exchange of India Ltd.

Trend of monthly disposal of complaints- Stock Broking

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April -2025	NIL	NIL	NIL	NIL
2	May-2025	NIL	NIL	NIL	NIL
3	June-2025	NIL	NIL	NIL	NIL
4	July-2025	NIL	NIL	NIL	NIL
5	August-2025	NIL	NIL	NIL	NIL
6	September-2025	NIL	NIL	NIL	NIL
7	October -2025	NIL	NIL	NIL	NIL
8	November-2025	NIL	NIL	NIL	NIL
9	December -2025	NIL	NIL	NIL	NIL
10	January -2026	NIL	NIL	NIL	NIL
11	February -2026	NIL	NIL	NIL	NIL
12	March -2026	NIL	NIL	NIL	NIL
13	April- 2026	NIL	NIL	NIL	NIL
14	May- 2026	NIL	NIL	NIL	NIL
15	June- 2026	NIL	NIL	NIL	NIL
16	July- 2026	NIL	NIL	NIL	NIL
17	August- 2026	NIL	NIL	NIL	NIL
18	September-2026	NIL	NIL	NIL	NIL

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Trend of annual disposal of complaints- Stock Broking

SN	Year	Carried forward From previous year	Received during the year	Resolved During the year	Pending at the end of the year
1	2017-18	NIL	NIL	NIL	NIL
2	2018-19	NIL	NIL	NIL	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	NIL	NIL	NIL	NIL
5	2021-22	NIL	NIL	NIL	NIL
6	2022-23	NIL	NIL	NIL	NIL
7	2023-24	NIL	NIL	NIL	NIL
8	2024-25	NIL	NIL	NIL	NIL
9	2025-26	NIL	NIL	NIL	NIL
10	2026-2027 (Till September)	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

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